

Internal Audit Annual Report & Opinion 2019/20 – Appendix A

ref	audit	Risk assess 2019/20	Potential Days	Overall Days Taken	Final Report issued	Audit Opinion	No of Recs	4	3	2	1	Interim Report issued
01KF	Business Rates	4	10	10	05/02/20	SUBSTANTIAL	0	0	0	0	0	
02KF	Grants & External Funding received	4	10	8	20/09/19	SUBSTANTIAL	0	0	0	0	0	
03KF	Insurance	4	10	2		N/A						13/05/20
04KF	Recovery	4	10	21	09/12/19	SATISFACTORY	6	0	2	4	0	
05KF	Treasury Management	4	10	8	23/01/20	SUBSTANTIAL	1	0	0	1	0	
06KF	Asset Management	4	10	10	02/08/19	SUBSTANTIAL	0	0	0	0	0	
07KF	HR & Payroll (combined)	3	10	14	08/04/20	LIMITED	9	0	3	6	0	
08C	EU Exit	4	5	9	08/11/19	SUBSTANTIAL	1	0	0	0	1	
09C	Consultants, Contractors & Agency Staff	3	10	18	05/03/20	SATISFACTORY	4	0	2	2	0	
10C	Equality & Diversity	3	5	3	28/02/20	LITTLE	9	0	7	2	0	
11C	Health & Safety	3	5	5	31/05/20	SATISFACTORY	5	0	2	3	0	
12C	Information Governance	3	10	18		N/A						01/05/20
13O	Leisure PFI	2	5	5	03/12/19	SATISFACTORY	1	0	1	0	0	
14O	Museum	3	10	17		N/A						15/05/20
15O	Domestic Waste & Recycling	3	10	13		N/A						14/07/20
16O	Members' Allowances & Expenses	3	10	9	03/04/20	SUBSTANTIAL	0	0	0	0	0	
17O	Business Continuity & Emergency Planning	3	10	10	19/11/19	LITTLE	9	2	6	1	0	
18O	Commercial Food Safety	postponed to 2020/21 due to EH Resource issues										
19O	<i>Emergency Planning - combined with 17O</i>											
20O	Environmental Health - Imported Food Controls	4	10	7	15/10/19	SUBSTANTIAL	0	0	0	0	0	
21O	Environmental Health - Licensing	4	10	9	30/04/20	LIMITED	7	0	3	4	0	
22O	Environmental Health - Enforcement	2	5	2	28/02/20	SATISFACTORY	1	0	1	0	0	
23O	Property Services - Repairs Service	3	10	6	23/03/20	SUBSTANTIAL	0	0	0	0	0	
24O	Property Services - Right to Buy	3	10	4	30/01/20	SUBSTANTIAL	2	0	0	2	0	
25O	Property Services - Stock & Voids	3	5	7	13/03/20	SUBSTANTIAL	1	0	1	0	0	
26O	Wellbeing - Allocations	3	10	2		N/A						15/05/20
27O	Wellbeing - Homelessness	3	10	3		N/A						15/05/20
28O	Development Management	4	10	14	27/02/20	SATISFACTORY	4	0	0	2	2	
29O	Section 106 Obligations	3	10	6		N/A						01/05/20
			240	240			60	2	28	27	3	